

## Films containing modified starch

**Publication number:** EP1317916

**Publication date:** 2003-06-11

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**Classification:**

**- international:** A61K8/02; A61K8/73; A61K8/81; A61K9/70; A61K47/36; A61Q5/06; A61Q5/12; A61Q11/00; A61Q17/04; A61Q19/00; A61Q19/02; A61Q19/10; A61K8/02; A61K8/72; A61K9/70; A61K47/36; A61Q5/06; A61Q5/12; A61Q11/00; A61Q17/04; A61Q19/00; A61Q19/02; A61Q19/10; (IPC1-7): A61K7/075; A61K7/11; A61K7/42; A61K7/48; A61K9/70; A61K47/36

**- european:** A61K8/02C; A61K8/73C; A61K8/73F; A61K8/81C4; A61K8/81K2; A61K8/81K6; A61K8/81R2; A61K9/70B; A61K47/36; A61Q5/06; A61Q5/12; A61Q11/00; A61Q17/04; A61Q19/00; A61Q19/02; A61Q19/10

**Application number:** EP20020025575 20021115

**Priority number(s):** US20010991385 20011116; US20020100260 20020319

**Also published as:**



US2003099692 (A1)  
MXPA02011337 (A)  
JP2003213038 (A)  
EP1317916 (A3)  
CA2411889 (A1)

**Cited documents:**



US3790664  
EP0460588  
EP0948960  
US5456745  
EP0021505  
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Abstract not available for EP1317916

Abstract of corresponding document: **US2003099692**

The present invention relates to a film, particularly an oral film, which contains starch as the main component. Such film is useful for delivering a variety of agents to humans and other animals to produce a therapeutic, organoleptic, pharmacological, agricultural or cosmetic effect, including breath fresheners, flavors, fragrances and pharmaceuticals.

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Office européen des brevets



(11)

**EP 1 317 916 A2**

(12)

**EUROPEAN PATENT APPLICATION**

(43) Date of publication:  
**11.06.2003 Bulletin 2003/24**

(21) Application number: **02025575.8**

(22) Date of filing: **15.11.2002**

(51) Int Cl.7: **A61K 7/075, A61K 7/11,  
A61K 7/42, A61K 7/48,  
A61K 9/70, A61K 47/36**

(84) Designated Contracting States:  
**AT BE BG CH CY CZ DE DK EE ES FI FR GB GR  
IE IT LI LU MC NL PT SE SK TR**  
Designated Extension States:  
**AL LT LV MK RO SI**

(30) Priority: **16.11.2001 US 991385  
19.03.2002 US 100260**

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(54) **Films containing modified starch**

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apeutic, organoleptic, pharmacological, agricultural or cosmetic effect, including breath fresheners, flavors, fragrances and pharmaceuticals.

## Description

### BACKGROUND OF THE INVENTION

- 5 [0001] The present invention relates to a film, particularly an oral film, which contains starch as the main component. Such film is useful for delivering a variety of agents to humans and other animals.
- [0002] There are a variety of agents which can be delivered to produce a therapeutic, organoleptic, pharmacological, agricultural, or cosmetic effect, including breath fresheners, flavors, fragrances and pharmaceuticals. Such agents are typically delivered in a number of ways including, mouth washes and sprays, tablets, chewing gums, and transdermal patches. For example, US Patent No. 6,197,288 discloses a method of counteracting a malodor in the oral cavity by delivering a composition orally.
- 10 [0003] Recently, a new method of delivering such agents has been disclosed, that of delivering by oral film. For example, U.S. Patent No. 6,153,222 discloses a volume-expandable, sheet-like form suitable to carry an active agent and U.S. Patent No. 6,177,096 discloses a composition comprising a water-soluble polymer, at least one polyalcohol, and at least one cosmetically or pharmaceutically active ingredients, wherein the composition has mucoadhesive properties.
- 15 [0004] Many of the marketed films contain pullulan as the main component. However, pullulan is expensive to manufacture and import. Therefore, others polymers have been substituted for pullulan, including native starch. Native starch has been found to be inferior to pullulan in functionality, particularly in that it forms brittle films.
- 20 [0005] Surprisingly, it has now been found that modified starches, particularly stabilized starches, form excellent films useful for delivering a wide variety of actives.

### SUMMARY OF THE INVENTION

- 25 [0006] The present invention relates to a film, particularly an oral film, which contains starch as the main component. Such film is useful for delivering a variety of agents to humans and other animals to produce a therapeutic, organoleptic, pharmacological, agricultural or cosmetic effect, including breath fresheners, flavors, fragrances and pharmaceuticals. Such films are wetted when exposed to water or an aqueous fluid, followed by rapid dissolution and/or disintegration. Aqueous fluid, as used herein, is intended to include, without limitation, water and aqueous solutions and dispersions to which the film is exposed such as saliva, blood, and other bodily fluids.
- 30

### DETAILED DESCRIPTION OF THE INVENTION

- 35 [0007] The present invention relates to a film, particularly an oral film, which contains starch as the main component. Such film is useful for delivering a variety of agents, particularly to humans and other animals, to produce a therapeutic, organoleptic, pharmacological, agricultural or cosmetic effect, including breath fresheners, flavors, fragrances and pharmaceuticals.
- [0008] Starch, as used herein, is intended to include all starches derived from a native source, any of which may be suitable for use herein. A native starch as used herein, is one as it is found in nature. Also suitable are starches derived from a plant obtained by standard breeding techniques including crossbreeding, translocation, inversion, transformation or any other method of gene or chromosome engineering to include variations thereof. In addition, starch derived from a plant grown from artificial mutations and variations of the above generic composition, which may be produced by known standard methods of mutation breeding, are also suitable herein.
- 40 [0009] Typical sources for the starches are cereals, tubers, roots, legumes and fruits. The native source can be corn, pea, potato, sweet potato, banana, barley, wheat, rice, sago, amaranth, tapioca, arrowroot, canna, sorghum, and waxy or high amylose varieties thereof. As used herein, the term "waxy" is intended to include a starch containing at least about 95% by weight amylopectin and the term "high amylose" is intended to include a starch containing at least about 40% by weight amylose.
- 45 [0010] The starch must be modified to achieve the desired film attributes. The film should be strong, yet appear flexible and should not appear brittle. It must be blocking and moisture resistant so that it does not adhere to itself, yet able to dissolve or disintegrate quickly when exposed to water or an aqueous fluid such as when placed in the oral cavity or on the tongue.
- 50 [0011] Except in minor amounts, native starches are not suitable for the present invention without modification, and thus may be modified using any modification known in the art, including physical, chemical and/or enzymatic modifications, to obtain the desired film attributes.
- 55 [0012] Physically modified starches, such as sheared starches, or thermally-inhibited starches described in the family of patents represented by WO 95/04082, may be suitable for use herein.
- [0013] Chemically modified products are also intended to be included as the base material and include, without

limitation, those which have been crosslinked, acetylated and organically esterified, hydroxyethylated and hydroxypropylated, phosphorylated and inorganically esterified, cationic, anionic, nonionic, and zwitterionic, and succinate and substituted succinate derivatives thereof. Such modifications are known in the art, for example in Modified Starches: Properties and Uses, Ed. Wurzburg, CRC Press, Inc., Florida (1986).

5 [0014] Conversion products derived from any of the starches, including fluidity or thin-boiling starches prepared by oxidation, enzyme conversion, acid hydrolysis, heat and or acid dextrinization, thermal and or sheared products may also be useful herein.

[0015] Further suitable are pregelatinized starches which are known in the art and disclosed for example in U.S. Patent Nos. 4,465,702, 5,037,929, 5,131,953, and 5,149,799. Conventional procedures for pregelatinizing starch are  
10 also known to those skilled in the art and described for example in Chapter XXII- "Production and Use of Pregelatinized Starch", Starch: Chemistry and Technology, Vol. III- Industrial Aspects, R.L. Whistler and E.F. Paschall, Editors, Academic Press, New York 1967.

[0016] Any starch or starch blend having suitable properties for use herein may be purified by any method known in the art to remove starch off flavors and colors that are native to the polysaccharide or created during processing.  
15 Suitable purification processes for treating starches are disclosed in the family of patents represented by EP 554 818 (Kasica, et al.). Alkali washing techniques, for starches intended for use in either granular or pregelatinized form, are also useful and described in the family of patents represented by U.S. 4,477,480 (Seidel) and 5,187,272 (Bertalan et al.).

[0017] Particularly suitable starches are starches capable of emulsifying or encapsulating the active ingredient so that there is no need for additional encapsulating or emulsifying agents. Such starches include, without limitation,  
20 hydroxyalkylated starches such as hydroxypropylated or hydroxyethylated starches, and succinated starches such as octenylsuccinated or dodecylsuccinated starches. The use of emulsifying or encapsulating starches are particularly useful in that a solution or dispersion of the film material (starch component, active agent, and optional additives) may be stored for later processing. The hydroxyalkylated starches have the added advantage of forming a softer film so that there is less or no need for a plasticizer.

25 [0018] To facilitate processing of the films, the starches are typically at least partially converted to reduce the viscosity and allow for the production of a high solids starch dispersion/solution, such as a 30% solids starch dispersion/solution. Particularly suitable starches are those with a viscosity of at least about 1000 cps at 20% solids and a viscosity of no more than about 10,000 cps at 90% solids.

[0019] Particularly suitable starches have a flow viscosity of at least about 7 seconds, more particularly at least about  
30 10 seconds and no more than about 19 seconds, particularly no more than about 15 seconds. Flow viscosity, as used herein, is measured by the test defined in the Examples section, below.

[0020] The molecular weight of the starch is also important to its functionality in a film, particularly to film strength. For example, dextrans are not suitable in the present application.

[0021] The starch component may be a single modified starch, a blend of modified starches, or a blend of modified  
35 and native starches. Blends may be particularly useful to lower the cost of the film or to more easily achieve a variety of desirable properties and functionalities. If native starches are used, they may only be used in minor amounts, particularly less than 15%, more particularly less than about 10% by weight of the starch component.

[0022] The starch component may also comprise a cellulosic material or a gum, such as pullulan which is fully compatible and essentially substitutable for the starch. Other cellulosic materials and gums include without limitation carboxymethyl cellulose, hydroxypropyl cellulose, microcrystalline cellulose, ethylcellulose, cellulose acetate phthalate,  
40 hydrocolloids, carageenan, gums, and alginate. However, a cellulosic material or a gum is not an essential component of the film and may be used at levels of less than about 15 percent, more particularly less than about 10 percent by weight of the starch component, or may even be absent from the film. As starch is generally less expensive than pullulan, the cost of a pullulan film may be decreased by substituting starch for at least a portion of the pullulan, particularly at least about 50%, more particularly at least about 85%, most particularly at least about 90% of the pullulan  
45 by weight, without loss of the essential functionality of the pullulan film.

[0023] The starch component is typically used in amounts ranging from about 50 to about 100 percent, particularly from about 70 to 100 percent, most particularly from about 80 to 100 percent by weight of the film, exclusive of the active agent.

50 [0024] Active agents which may be delivered by the starch film include therapeutic, organoleptic, agricultural, or pharmacological cosmetic effect, such as breath fresheners, aromatizing agents, anti-oxidants, dyes, flavors, fragrances, vitamins, antiperspirants and deodorants, moisturizers, colors, emollients and humectants, antiseptics, analgesics, sugars, perfumes, hair fixatives and conditioners, nicotine, food acids and bases, fertilizers, surfactants, soaps and other cleansing agents including shampoos and body washes, pesticides, and herbicides, pharmaceuticals,  
55 and skin treatment agents including skin lightening agents, UV absorbers, antioxidants, antimicrobial and antifungal agents, waterproofing agents, anti-acne agents, anti-aging agents and wrinkle reducers, pigments, melanin inhibitors, sensates including warming and cooling agents, cleansers, emollients, fragrances, humectants, moisturizers, vitamins, and aesthetic enhancers. The active agent may be used at any amount desired, the only limitation being the potential



load of the film. Typically, the amount of load will be depend upon the agent to be delivered and the intended use and will range from about 0.5 to about 40 percent, particularly about 0.5 to about 20 percent, more particularly about 0.5 to about 15 percent, by weight of the starch component. For example, a pharmaceutical agent will generally be delivered in substantially lower amounts than a breath freshener.

5 [0025] The active agent may be added as is or may be pre-encapsulated using techniques known in the art. The active may also be in the form of microparticulates or nanoparticulates, emulsions or vesicle including multilayered vesicles.

10 [0026] At least one plasticizer may be added to increase the apparent flexibility of the films. Further, a solid polyol plasticizer will generally provide better resistance to moisture absorption and blocking. One skilled in the art can chose a plasticizer to meet the desired needs of the film, such as choosing an edible plasticizer for an oral film. Plasticizers useful in the instant invention include, polyols, polycarboxylic acids, and polyesters. Examples of useful polyols include, but are not limited to ethylene glycol, propylene glycol, sugar alcohols such as sorbitol, manitol, maltitol, lactitol; mono-, di- and oligosaccharides such as fructose, glucose, sucrose, maltose, lactose, and high fructose corn syrup solids and ascorbic acid. Examples of polycarboxylic acids include, but are not limited to, citric acid, maleic acid, succinic acid, 15 polyacrylic acid, and polymaleic acid. Examples of polyesters include but are not limited to glycerin triacetate, acetylated-monoglyceride, diethyl phthalate, triethyl citrate, tributyl citrate, acetyl triethyl citrate, acetyltributyl citrate. The plasticizer may be present in any desired amount, particularly from 0 to about 15 percent, more particularly from 0 to about 10 by weight of the starch component.

20 [0027] At least one film strengthener may be added to enhance the mechanical properties without substantially increasing the dissolution or disintegration time of the film. One skilled in the art can chose a film strengthener to meet the desired needs of the film, such as choosing an edible film strengthener for an oral film. Film strengtheners useful in the instant invention include polyvinylpyrrolidone, celluloses, and cellulose derivatives. The film strengthener may be present in any desired amount, particularly from 0 to about 5 percent by weight of the starch component.

25 [0028] Optional components may be added for a variety of reasons including without limitation, sweeteners, both natural and artificial; emulsifiers; humectants; surfactants; colorants; proteins such as gelatins; gums; activated carbon, dental whiteners, flavors and flavor enhancers. Such optional components are typically added in minor amounts, particularly less than about 30% total by weight based upon the weight of the starch component.

30 [0029] The film may be made by a variety of processes known in the art. For example, the starch may be dispersed with the other film components in water or other solvent and dried into film form. In the alternative, the starch and other dry components may be blended and then dispersed with any additional film components in water or other solvent and dried into film form. Films may be formed from such dispersions or solutions by shaping it into a solidified form of a suitable thickness by any technique known in the art including, but not limited to, wet casting, freeze-drying, and extrusion molding. The dispersion or solution may also be directly coated or sprayed onto another product, such as a tablet, foodstuff, or seed, and dried to form a film.

35 [0030] A particularly suitable process for preparing the films of the present invention is by preparing a coating formulation by making a solution or dispersion of the film components, applying the mixture to a substrate, using knife, bar or extrusion die coating methods, drying the coated substrate to remove the majority of the solvent, and removing the film from the substrate. Suitable substrates include, but are not limited to, silicone elastomers, metal foils and metalized polyfoils, composite foils or films containing polytetrafluoroethylene materials or equivalents thereof, 40 polyyether block amide copolymers, polyurethanes, polyvinylidene chloride, nylon, rubber-based polyisobutylene styrene, styrene-butadiene and styrene-isoprene copolymers, polyethylene, polyester, and other such materials useful in the art as releasable substrates.

45 [0031] The film is not completely dried in that some degree of water or other solvent remains. The amount of water may be controlled to obtain desired functionality. For example, more water typically results in a more flexible film, while too much water results in a film which will block and be tacky.

[0032] The film thickness will depend, in part, on the desired end use. Typically, the film thickness will be in the range of about 1 to 500 microns, particularly 25 to 100 microns. When prepared as an oral film for quick dissolution in the oral cavity, the film thickness is more preferably from about 25 to 50 microns.

50 [0033] The resultant films are light-weight and easy to carry. They are sufficiently strong and apparently flexible so as to be easily dispensable and handled.

[0034] The films exhibit moisture and blocking resistance, yet are wetted when exposed to water or an aqueous fluid, such as when placed on the tongue or other surface, followed by rapid dissolution and/or disintegration. The wettability and dissolution rates of the starches may be modified by one skilled in the art to target a specific delivery profile. For example, more rapid dissolution is typically preferred when the film is an oral film and particularly suitable films for 55 such use are those which completely dissolve using the test described *infra* in less than about 30 seconds, particularly less than about 20 seconds, more particularly in less than about 10 seconds. For other uses, less rapid dissolution is necessary and films may completely dissolve in no more than about 60 seconds, particularly less than about 45 seconds, more particularly less than about 30 seconds.

[0035] One skilled in the art can also modify the film formulation to provide clarity and other desired characteristics by manipulation of the starch component and control of other components.

[0036] The films may be used for delivering any active agent for a variety of applications including personal care, skin care, wound care, oral care, pharmaceutical, and breath freshening. For example, the film may be used to deliver antiperspirant and/or deodorant to an underarm, antiseptic to a wound, drugs, nicotine, cleansers, styling or conditioning polymers to the hair, or breath fresheners or aromatizing agents to the mouth. The film may also be used to deliver a variety of agents to the skin including, without limitation, soaps and cleansing agents, antioxidants, antimicrobial and antifungal agents, waterproofing agents, anti-acne agents, anti-aging and wrinkle reduction agents, melanin inhibitors, sensates, including warming or cooling agents, emollients, humectants, and moisturizers, aesthetic enhancers or perfumes (fragrances). In addition, the film may be used to deliver fertilizers, pesticides, and herbicides to seeds and/or soil.

## OTHER EMBODIMENTS

[0037] The present invention includes, without limitation, the following additional embodiments.

### 1. A composition comprising:

- (a) a starch component comprising at least about 85 percent modified starch;
- (b) an active agent; and
- (c) 0 to about 15 percent of a plasticizer by weight of the starch component;

wherein such composition is a film which is wetted when exposed to an aqueous fluid such that it rapidly dissolves or disintegrates.

2. The composition of embodiment 1, wherein the starch is selected from the group consisting of a hydroxyalkylated starch and a succinated starch.

3. The composition of embodiment 2, wherein the starch is a hydroxypropylated starch and there is no plasticizer.

4. The composition of embodiment 2, wherein the starch is a octenylsuccinated starch.

5. The composition of embodiment 1, wherein the starch component comprises 100 percent modified starch.

6. The composition of embodiment 1, wherein the starch component has a flow viscosity of from about 7 to about 19 seconds.

7. The composition of embodiment 6, wherein the starch component has a flow viscosity of from about 10 to about 15 seconds.

8. The composition of embodiment 1, wherein the plasticizer is selected from the group consisting of a polyol, a polycarboxylic acid and a polyester.

9. The composition of embodiment 8, wherein the plasticizer is selected from the group consisting of propylene glycol, sorbitol, mannitol, maltitol, lactitol, fructose, glucose, glycerin, sucrose, high fructose corn syrups, citric acid, and ascorbic acid.

10. The composition of embodiment 1, wherein the active agent is selected from the group consisting of breath fresheners, aromatizing agents, anti-oxidants, dyes, flavors, fragrances, vitamins, antiperspirants, deodorants, moisturizers, colors, emollients, humectants, antiseptics, analgesics, sugars, perfumes, hair fixatives, conditioners, nicotine, food acids, food bases, fertilizers, surfactants, soaps, cleansing agents, pesticides, herbicides, pharmaceuticals, and skin treatment agents.

11. The composition of embodiment 1, wherein the active agent is selected from the group consisting of breath fresheners, aromatizing agents, and flavors.

12. The composition of embodiment 1, wherein the active agent is selected from the group consisting of fertilizers, pesticides, and herbicides.

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13. The composition of embodiment 1, wherein the active agent is nicotine or a pharmaceutical.

14. The composition of embodiment 1, wherein the active agent is a skin treatment agent.

15. The composition of embodiment 1, further comprising at least one component selected from the group consisting of a sweetener, an emulsifier, a humectant, a surfactant, a protein, a gum, a colorant, an activated carbon, a dental whitener, and a flavor.

16. The composition of embodiment 1, wherein said film has a thickness of about 1 to about 500 microns.

17. The composition of embodiment 16, wherein the film has a thickness of about 25 to about 100 microns.

18. The composition of embodiment 17, wherein the film has a thickness of about 25 to about 50 microns.

19. The composition of embodiment 1, wherein the starch has been at least partially converted.

20. The composition of embodiment 1, wherein the film dissolves in the aqueous fluid at physiological temperature in less than about 30 seconds.

21. The composition of embodiment 1, wherein the starch component is a hydroxypropylated starch and comprises 80% of the film, and the active agent is a breath freshener and comprises 15% of the film.

22. A composition comprising pullulan, the improvement comprising substituting a starch component comprising at least about 85 percent modified starch for at least a portion of the pullulan in the composition.

23. The composition of embodiment 1, further comprising a film strengthener.

24. The composition of embodiment 23, wherein the film strengthener is selected from the group consisting of polyvinyl pyrrolidone, a cellulose, and a cellulose derivative.

25. The composition of embodiment 23, wherein the film strengthener is present in an amount of up to about 5% by weight of the starch component.

26. A method of delivering an active agent to an animal comprising applying the composition of embodiment 1.

27. The method of embodiment 26, wherein the composition is used to deliver an effect selected from the group consisting of a therapeutic effect, an organoleptic effect, a cosmetic effect, an agricultural effect and a pharmacological effect.

28. The method of embodiment 26, wherein the active agent is selected from the group consisting of a breath freshener, an aromatizer, and a flavor.

29. The method of embodiment 26, wherein the active agent is nicotine or a pharmaceutical.

30. The method of embodiment 26, wherein the active agent is a skin treatment agent.

### EXAMPLES

[0038] The following examples are presented to further illustrate and explain the present invention and should not be taken as limiting in any regard. All percents used are on a weight/weight basis.

[0039] In the examples below, the starches used are as follows:

Acetylated = acetylated (5% treatment) high amylose (70%) corn starch commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

Converted = mannox converted waxy corn starch commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

Corn = native corn starch commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

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OSA waxy 1 = mannox degraded octenylsuccinated waxy corn starch commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

PO waxy 1 = Hydroxypropylated (8.5% treatment) waxy corn starch with a water fluidity of 35\* commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

PO waxy 2 = Agglomerated hydroxypropylated (8.5% treatment) waxy corn starch with a water fluidity of 35\* commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

PO waxy 3 - Hydroxypropylated (8.5% treatment) waxy corn starch with a water fluidity of 15\* commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

Pullulan = pullulan (grade PF-20, molecular weight of 200,000) commercially available from Hayashibara Co., Ltd. (Japan).

Tapioca = native tapioca starch, commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA).

\*Water fluidity is an empirical measure of viscosity on a scale of 0-90, wherein fluidity is the reciprocal of viscosity. Water fluidity of starches is typically measured using a Thomas Rotational Shear-type Viscometer (commercially available from Arthur A. Thomas Co., Philadelphia, PA), standardized at 30°C with a standard oil having a viscosity of 24.73 cps, which oil requires 23.12±0.05 sec for 100 revolutions. Accurate and reproducible measurements of water fluidity are obtained by determining the time which elapses for 100 revolutions at different solids levels depending on the starch's degree of conversion: as conversion increases, the viscosity decreases.

[0040] In the examples below, the polymers used are as follows. All are commercially available from National Starch and Chemical Company (Bridgewater, NJ, USA):

AMPHOMER® polymeric resin = Octylacrylamide/Acrylates/Butylaminoethyl Methacrylate Copolymer

RESYN® 28-2930 resin adhesive = VA/Crotonates/Vinyl Neodecanoate Copolymer

FLEXAN® 130 synthetic polymer = Sodium Polystyrene Sulfonate

CELQUAT® L-200 cellulosic resin = Polyquaternium-4

AMAZE™ starch fixative = Corn Starch Modified

[0041] In the examples below, the procedures used are as follows:

Film casting - The films are cast using a knife-over-roll coating method, air dried overnight, and conditioned at 72°F (22°C) and 50% relative humidity.

Blocking resistance - Films are stacked on top of each other, conditioned for 24 hours at 104°F (40°C) and 75% relative humidity, then pulled apart to see whether or not they block (adhere).

Dissolution time - Dissolution of the films in the oral cavity are estimated by measuring the time, in seconds, that it takes for a square inch of film to disintegrate in a beaker of 98.6°F (37°C) water.

Flow Viscosity - Flow viscosity is measured as follows. The starch is slurried in water and jet cooked at 149°C (300°F) until fully gelatinized. The solids are adjusted to 5% (w/w). The temperature of the starch solution is controlled at 22°C. A total of 100 ml of the starch dispersion is measured into a graduated cylinder. It is then poured into a calibrated funnel while using a finger to close the orifice. A small amount is allowed to flow into the graduate to remove any trapped air, and the balance is poured back into the funnel. The graduated cylinder is then inverted over the funnel so that the contents draw (flow) into the funnel while the sample is running. Using a timer, the time required for the 100 ml sample to flow through the apex of the funnel is recorded.

[0042] The glass portion of the funnel is a standard 58°, thick-wall, resistance glass funnel whose top diameter is about 9 to 10 cm with the inside diameter of the stem being about 0.381 cm. The glass stem of the funnel is cut to an approximate length of 2.86 cm from the apex, carefully firepolished, and refitted with a long stainless steel tip which is 5.08 cm long with an outside diameter of 0.9525 cm. The interior diameter of the steel tip is 0.5951 cm at the upper end where it is attached to the glass stem; it is 0.4445 cm at the outflow end, with the restriction in the width occurring at about 2.54 cm from the ends. The steel tip is attached to the glass funnel by means of a Teflon tube. The funnel is calibrated so as to allow 100 ml of water to go through in 6 seconds using the above procedure.

### Example 1 - Comparison of various starches and pullulan as films

[0043] Films were made of a variety of starches or pullulan and the films were tested subjectively for flexibility, clarity, tack, blocking resistance and objectively for tensile strength and dissolution time. The results are shown in Table I, below.



Table I

<u>Starch</u>	<u>Apparent Flexibility</u>	<u>Clarity</u>	<u>Tack</u>	<u>Blocking</u>	<u>Dissolution Time (sec)</u>	<u>Flow Viscosity (sec)</u>
Pullulan	Flexible	Clear	None	None	9	---
PO waxy 1	Flexible	Clear	None	None	6.5	12.1
Corn	Flexible	Hazy	None	None	>120 (did not dissolve)	17.2
Tapioca	Flexible	Clear	None	None	83	35.0
Acetylated	Flexible	Hazy	None	None	>120	11.9
PO waxy 3	Flexible	Clear	None	None	36	19.6
OSA waxy 1	Flexible	Clear	None	None	42	10.1
Converted	Flexible	Clear	None	None	8.5	10.1
PO Waxy 2: Pullulan 90:10	Flexible	Clear	None	None	13.5	---
PO waxy 2: Corn 90:10	Flexible	Hazy	None	None	7.5	---

Example 2 - Comparison of film formulations

[0044] Emulsions were made of a variety of starches and flavoring oil (orange oil). The emulsions were evaluated for stability at room temperature and the liquid was considered stable until phasing was visibly noticeable. The results are shown in Table II, below.

Table II

<u>Starch</u>	<u>Orange Oil</u>	<u>Emulsified</u>	<u>Liquid Stability (Days)</u>
PO waxy 1	5%	Yes	7
PO waxy 1	10%	Yes	7
OSA waxy 1	5%	Yes	+30
OSA waxy 1	10%	Yes	+30

Example 3 - Hair Conditioner

[0045] This example illustrates the preparation of a film for use as a hair conditioner.

[0046] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 2.5g Stearyl Alcohol, 1.0g Cetearyl Alcohol, 2.0g Cetrimonium Chloride, 2.0g Amodimethicone, and 0.2g Polyquaternium-4. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 4 - Conditioning Shampoo

[0047] This example illustrates the preparation of a film for use as a conditioning shampoo.

[0048] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 7.0g Sodium Laureth Sulfate, 2.0g Cocamidopropyl Betaine, 0.5g Polyquaternium-10, and 0.25g Dimethicone Copolyol. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 5 - Body Wash

[0049] This example illustrates the preparation of a film for use as a body wash.

[0050] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture

was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 5.0g Sodium Laureth Sulfate, 3.0g Cocamidopropyl Betaine, 1.5g Sodium Sulfosuccinate, 0.25g Polyquaternium-10, and 0.25g Glycerin. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

5 Example 6 - Acrylic Hair Fixative

[0051] This example illustrates the preparation of a film incorporating acrylic hair fixatives.

[0052] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 1.9g of 95% 2-Amino-2-Methyl-1-Propanol followed by 10.0g of AMPHOMER® polymeric resin. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 7 - Vinyl Alkanoate Hair Fixative

15 [0053] This example illustrates the preparation of a film incorporating vinyl alkanoate hair fixatives.

[0054] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 1.1g of 95% 2-Amino-2-Methyl-1-Propanol followed by 10.0g of RESYN® 28-2930 resin adhesive. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

20 Example 8 - Poly(vinylpyrrolidone) Hair Fixative

[0055] This example illustrates the preparation of a film incorporating poly(vinylpyrrolidone) as the hair fixative.

25 [0056] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 10.0g of poly(vinylpyrrolidone). The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 9 - Sulfonated Polystyrene Hair Fixative

30 [0057] This example illustrates the preparation of a film incorporating sulfonated polystyrene as the hair fixative.

[0058] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 33.0g of FLEXAN® 130 synthetic polymer (at 30% solids). The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

35 Example 10 - Cellulose-based Hair Fixative

[0059] This example illustrates the preparation of a film incorporating cellulose based hair fixatives.

40 [0060] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 10.0g of CELQUAT® L-200 cellulosic resin. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 11 - Starch-based Hair Fixative

45 [0061] This example illustrates the preparation of a film incorporating starch based hair fixatives.

[0062] A starch cook was prepared by adding 90g of PO Waxy 2 to a stirred solution of 210g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 10.0g of AMAZE™ starch fixative. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

50 Example 12 - Anti-acne Treatment

[0063] This example illustrates the preparation of a film for anti-acne use in skin care.

55 [0064] A starch cook was prepared by adding 99g of PO Waxy 2 to a stirred solution of 230g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 1.0g of Salicylic Acid. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 13 - Anti-oxidant Treatment

[0065] This example illustrates the preparation of a film for use of anti-oxidants in skin care.

[0066] A starch cook was prepared by adding 99g of PO Waxy 2 to a stirred solution of 230g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 1.0g of Tocopheryl Acetate. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 15 - Topical Analgesic

[0067] This example illustrates the preparation of a film containing an analgesic for use in skin care.

[0068] A starch cook was prepared by adding 98g of PO Waxy 2 to a stirred solution of 230g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 2.0g of Methyl Salicylate. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 16 - Skin Bleaching Agent

[0069] This example illustrates the preparation of a film containing a skin bleaching agent for use in skin care.

[0070] A starch cook was prepared by adding 96g of PO Waxy 2 to a stirred solution of 230g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 4.0g of Hydroquinone. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 17 - Anti-wrinkle Treatment

[0071] This example illustrates the preparation of a film for anti-wrinkle use in skin care.

[0072] A starch cook was prepared by adding 100g of PO Waxy 2 to a stirred solution of 230g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 0.02g of Retinol. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

Example 18 - Sun Protection

[0073] This example illustrates the preparation of a film for sun protection use in skin care.

[0074] A starch cook was prepared by adding 100g of PO Waxy 2 to a stirred solution of 230g of water. The mixture was stirred at room temperature until the starch went into solution. To the stirred starch cook was added 7.0g of Diethylhexyl 2,6-Naphthalate, 7.5g Ethylhexyl Methoxycinnamate, 1.5g Benzophenone-3, 3.0g Ethylhexyl Salicylate, 3.0g Butyl Methoxydibenzoylmethane. The mixture was homogenized for 10 minutes, then drawn down into a film, and dried.

**Claims**

1. A composition comprising:

- (a) a starch component comprising at least about 85 percent modified starch;
- (b) an active agent; and
- (c) 0 to about 15 percent of a plasticizer by weight of the starch component;

wherein such composition is a film which is wetted when exposed to an aqueous fluid such that it rapidly dissolves or disintegrates.

2. The composition of claim 1, wherein the starch is selected from the group consisting of a hydroxyalkylated starch and a succinated starch.

3. The composition of claim 2, wherein the starch is a hydroxypropylated starch and there is no plasticizer.

4. The composition of claim 2, wherein the starch is a octenylsuccinated starch.

5. The composition of any one of claims 1-4, wherein the starch component comprises 100 percent modified starch.

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6. The composition of any one of claims 1-5, wherein the starch component has a flow viscosity of from about 7 to about 19 seconds.
- 5 7. The composition of claim 6, wherein the starch component has a flow viscosity of from about 10 to about 15 seconds.
8. The composition of any one of claims 1-2 or 3-7, wherein the plasticizer is selected from the group consisting of a polyol, a polycarboxylic acid and a polyester.
- 10 9. The composition of claim 8, wherein the plasticizer is selected from the group consisting of propylene glycol, sorbitol, mannitol, maltitol, lactitol, fructose, glucose, glycerin, sucrose, high fructose corn syrups, citric acid, and ascorbic acid.
- 15 10. The composition of any one of claims 1-9, wherein the active agent is selected from the group consisting of breath fresheners, aromatizing agents, anti-oxidants, dyes, flavors, fragrances, vitamins, antiperspirants, deodorants, moisturizers, colors, emollients, humectants, antiseptics, analgesics, sugars, perfumes, hair fixatives, conditioners, nicotine, food acids, food bases, fertilizers, surfactants, soaps, cleansing agents, pesticides, herbicides, pharmaceuticals, and skin treatment agents.
- 20 11. The composition of any one of claims 1-9, wherein the active agent is selected from the group consisting of breath fresheners, aromatizing agents, and flavors.
12. The composition of any one of claims 1-9, wherein the active agent is selected from the group consisting of fertilizers, pesticides, and herbicides.
- 25 13. The composition of any one of claims 1-9, wherein the active agent is nicotine or a pharmaceutical.
14. The composition of any one of claims 1-9, wherein the active agent is a skin treatment agent.
- 30 15. The composition of any one of claims 1-9, further comprising at least one component selected from the group consisting of a sweetener, an emulsifier, a humectant, a surfactant, a protein, a gum, a colorant, an activated carbon, a dental whitener, and a flavor.
- 35 16. The composition of any one of claims 1-9, wherein said film has a thickness of about 1 to about 500 microns.
17. The composition of claim 16, wherein the film has a thickness of about 25 to about 100 microns.
18. The composition of claim 17, wherein the film has a thickness of about 25 to about 50 microns.
- 40 19. The composition of any one of claims 1-18, wherein the starch has been at least partially converted.
20. The composition of any one of claims 1-19, wherein the film dissolves in the aqueous fluid at physiological temperature in less than about 30 seconds.
- 45 21. The composition of any one of claims 1-3 or 5-20, wherein the starch component comprises is a hydroxypropylated starch and comprises 80% of the film, and the active agent is a breath freshener and comprises 15% of the film.
22. A composition comprising pullulan, the improvement comprising substituting a starch component comprising at least about 85 percent modified starch for at least a portion of the pullulan in the composition.
- 50 23. The composition of any one of claims 1-22, further comprising a film strengthener.
24. The composition of claim 23, wherein the film strengthener is selected from the group consisting of polyvinyl pyrrolidone, a cellulose, and a cellulose derivative.
- 55 25. The composition of claim 23 or 24, wherein the film strengthener is present in an amount of up to about 5% by weight of the starch component.



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26. A method of delivering an active agent to an animal comprising applying the composition of any one of claims 1-25.
27. The method of claim 26, wherein the composition is used to deliver an effect selected from the group consisting of a therapeutic effect, an organoleptic effect, a cosmetic effect, an agricultural effect and a pharmacological effect.
28. The method of claim 26, wherein the active agent is selected from the group consisting of a breath freshener, an aromatizer, and a flavor.
29. The method of claim 26, wherein the active agent is nicotine or a pharmaceutical.
30. The method of claim 26, wherein the active agent is a skin treatment agent.